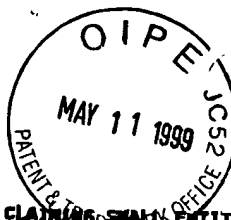


Applicant or Patentee: B. Brett Finlay, et al.
 Serial or Patent No.: 09/189,415
 Filed or Issued: November 10, 1998
 For: HOST RECEPTOR FOR PATHOGENIC BACTERIA



ATTORNEY DOCKET NO. 07422/013001

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: University of British Columbia
 Address of Organization: 2222 Health Science Mall, Vancouver, B.C. Canada V6T 1Z3
 Type of Organization:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 (NAME OF STATE:)
 (CITATION OF STATUTE:)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF
 LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF
 AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE:)
 (CITATION OF STATUTE:)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled HOST RECEPTOR AND PATHOGENIC BACTERIA by inventor(s) Brett B. Finlay, Brendan Kenny, Rebekah DeVirney and Markus Stein described in

- ☐ the specification filed herewith.
☒ application serial no. 09/189,415, filed 11/10/98.
☐ patent no. , issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: _____

Address: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name: Richard D. SpratleyTitle: Director, Research ServicesAddress: IRC Room 3304 HEALTH SCIENCES MALL, VANCOUVER, B.C. V6T 1Z3Signature: *R. D. Spratley*Date: MAY 03 1999



PATENT
ATTORNEY DOCKET NO: 07422/013001

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled HOST RECEPTOR FOR PATHOGENIC BACTERIA, the specification of which

☐ is attached hereto.

☐ was filed on 11/10/98 as Application Serial No. 09/189,415

and was amended on _____

☐ was described and claimed in PCT International Application No. _____

filed on _____ and as amended under

PCT Article 19 on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(e)(1) of any United States provisional application(s) listed below:

U.S. SERIAL NO.	FILING DATE	STATUS
<u>60/065,130</u>	<u>11/12/97</u>	<input type="checkbox"/> Pending <input type="checkbox"/> Issued <input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: John R. Wetherell, Jr., Reg. No. 31,678; Joseph R. Baker, Reg. No. 40,900; J. Peter Fasse, Reg. No. 32,983; Janis K. Fraser, Reg. No. 34,819; Eldora L. Ellison, Reg. No. 39,967; John W. Freeman, Reg. No. 29,066; Lisa A. Haile, Reg. No. 38,347; Scott Harris, Reg. No. 32,030; John F. Hayden, Reg. No. 37,640; John Land, Reg. No. 29,554; Anita L. Meiklejohn, Reg. No. 35,283; Y. Rocky Tsao, Reg. No. 34,054; Hans R. Troesch, Reg. No. 36,950, of FISH & RICHARDSON, P.C.

Address all telephone calls to Lisa A. Haile, Ph.D., at telephone number 619/678-5070.

Address all correspondence to Lisa A. Haile, Ph.D., Fish & Richardson P.C., 4225 Executive Square, Suite 1400, La Jolla, CA 92037.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

Full Name of Inventor: Brett B. FinlayInventor's Signature: Brett FinlayDate: 1/13/99Residence Address: 8491 Seafair Drive, Richmond, BC, V7C-1X7Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Brendan Kenny

Inventor's Signature: _____

Date: _____

Residence Address: _____

Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Rebekah DeVinneyInventor's Signature: Rebekah DeVinneyDate: 1/13/99Residence Address: 301-2265 W. 3rd Ave.Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Marcus Stein

Inventor's Signature: _____

Date: _____

Residence Address: _____

Citizen of: Vancouver, BCC

Post Office Address: _____

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COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUEDFull Name of Inventor: Brett B. Finlay

Inventor's Signature: _____ Date: _____

Residence Address: _____

Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Brendan Kenny

Inventor's Signature: _____ Date: _____

Residence Address: _____

Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Rebekah DeVinney

Inventor's Signature: _____ Date: _____

Residence Address: _____

Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Markus SteinInventor's Signature: Markus Stein Date: 19 / 01 / 1999Residence Address: # 237 - 6174 University BlvdCitizen of: Vancouver, BCC V6T 1Z3

Post Office Address: _____

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

PATENT
ATTORNEY DOCKET NO: 07422/013001Full Name of Inventor: Brett B. Finlay

Inventor's Signature: _____ Date: _____

Residence Address: _____

Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Brendan KennyInventor's Signature: Brendan Kenny Date: 13 April 1999Residence Address: First Floor Flat, 59 Manor Park, Redland, Bristol BS6 7HWCitizen of: IrelandPost Office Address: sameFull Name of Inventor: Rebekah DeVinney

Inventor's Signature: _____ Date: _____

Residence Address: _____

Citizen of: Vancouver, BCC

Post Office Address: _____

Full Name of Inventor: Markus Stein

Inventor's Signature: _____ Date: _____

Residence Address: Via Guido Rossa 26, 53010 Quercegrossa, Castelnuor, ItalyCitizen of: GermanyPost Office Address: Same

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